

91/2651\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:  
SERIAL NO.  
FILING DATE:  
INVENTION:

TANIGUCHI, TADASHI et al.  
09/675,074  
April 18, 2002

ATTORNEY DOCKET NO. 09792909-4642  
GROUP ART UNIT: 2651  
EXAMINER: N. HINDI

"OPTICAL PICKUP DEVICE WITH A PLURALITY OF LASER COUPLERS"  
INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☐ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☒ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☒ PTO Credit Card Form 2038 in the amount of \$180.00 to cover the required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

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please call (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 via First Class Mail on

9/2/04

Roxanne M. Swartz  
Roxanne M. Swartz



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Taniguchi et al. ATTORNEY DOCKET NO:  
09792909-4642  
SERIAL NO. 09/675,074 GROUP ART UNIT: 2651  
FILING DATE: September 28, 2000 EXAMINER: N. Hindi  
INVENTION: "OPTICAL PICKUP DEVICE WITH A PLURALITY OF LASER  
COUPLERS"

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. § 1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

**I. SUBMITTED UNITED STATES PATENT REFERENCES**

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
AA	4,694,447	Cohen et al.	September 15, 1987
AB	4,877,311	Shernoff	October 31, 1989

**II. SUBMITTED FOREIGN PATENT REFERENCES**

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
BA	0 550 036	EP	July 7, 1993
BB	0 470 807	EP	February 12, 1992
BC	01154326	JP (Abstract Only)	June 16, 1989

**III. OTHER NON PATENT LITERATURE DOCUMENTS**

09/27/2004 DENMANU1 00000025 09675074

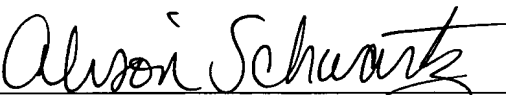
01 FC:1806

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**REMARKS**

References AA-AB and BA-BC are of record in a search report for counterpart European Patent Application No. EP 02 02 5650. A copy of the Search Report is enclosed.

Submitted by,

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Alison P. Schwartz

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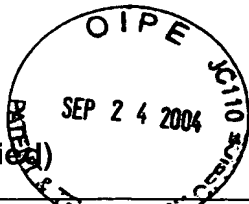
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DATE: September 21, 2004 SHEET \_1\_ of \_1\_

## Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

(37 CFR 1.98 (b))

ATTY. DOCKET NO.

09792909-4642

SERIAL NO.

09/675,074

APPLICANT

Taniguchi et al.

FILING DATE

September 28, 2000

GROUP

2651

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	AA	4	6	9	4	4	4	7	Sept 15, 1987	Cohen et a.			
	AB	4	8	7	7	3	1	1	Oct. 31, 1989	Shernoff			

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANS- LATION	
													YES	NO
	BA	0	5	5	0	0	3	6	July 7, 1993	EP			X	
	BB	0	4	7	0	8	0	7	Feb. 12, 1992	EP			X	
	BC	0	1	1	5	4	3	26	June 16, 1989	JP (Abstract Only)			X	

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and  
not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)